Annual Progress Reports and Development Safety Update Reports

**Reminder will be set on ReDA, in the Governance tab  Project Email Alerts.**

**These should be set up on Reda at time of Final Governance meeting by the allocated JRMO monitor.  
See Table 1 for details regarding the required actions when a reminder is received, and the escalation process.**

1st Reminder  to be set one month before the REC Favourable Opinion anniversary

Send email to: CI, GCP Monitor, Research Safety inbox, Trial Coordinator @use study generic email account).

Email SUBJECT: **XXX Annual Progress Report 1st Reminder**

**\*\*\*Automated Reminder\*\*\***

Dear Dr XXX,

**RE: XXX , IRAS Number: 0000 , Public Database number: ###########**

You are approaching the end of your REC Annual Progress Report (APR) reporting period. In one month, the reporting period will end, and you will have 30 calendar days to submit your APR to the REC.

For info, the APR should cover a one year period, with the last day being the date of the anniversary of your REC approval (DDMMMYYYY).

You can find the current template on the HRA website, <http://www.hra.nhs.uk/resources/during-and-after-your-study/nhs-rec-annual-progress-report-forms/> .

Please ensure a draft report is sent to [research.governance@](mailto:research.governance@)qmul.ac.uk for Sponsor review 2 weeks prior to submission

**Reminder 1 – End of reporting period approaching**

Reminder 2 – Reporting period ends today

Reminder 3 – Two weeks left to submit to REC

Reminder 4 – APR is due to arrive at REC today

Kind Regards,

**The GCP Team**

Joint Research Management Office

\*\*\*\*\* Please note that the MHRA and regulators use late reports to select studies for inspection as an indicator of failure to comply with GCP. Failure to submit regulatory reports in a timely manner may trigger the sponsor to audit the study and will result in the GCP team escalating to the appropriate CAG lead/institute director and the sponsor representative. Breaches in GCP may result in studies being suspended or withdrawal of sponsorship \*\*\*\*\*

2nd Reminder  to be set on the REC Favourable Opinion anniversary

Send email to: CI, GCP Monitor, Research Safety inbox, Trial Coordinator (use study generic email account).

Email SUBJECT: **XXX Annual Progress Report 2nd Reminder**

**\*\*\*Automated Reminder\*\*\***

Dear Dr XXX,

**RE: XXX , IRAS Number: 0000 , EudraCT** **number: ########### (or applicable for studies post Jan2021)**

Today is the end of your REC Annual Progress Report (APR) reporting period. You now have 30 calendar days to submit your APR to the REC.

For info, the APR should cover a one year period, with the last day being the date of the anniversary of your REC approval – today (DDMMMYYYY).

You can find the current template on the HRA website, <http://www.hra.nhs.uk/resources/during-and-after-your-study/nhs-rec-annual-progress-report-forms/> .

Please ensure a draft report is sent to [research.governance@qmul.ac.uk](mailto:research.governance@qmul.ac.uk) for Sponsor review 2 weeks prior to submission (at least within 2 weeks of today)

Reminder 1 – End of reporting period approaching

**Reminder 2 – Reporting period ends today**

Reminder 3 – Two weeks left to submit to REC

Reminder 4 – APR is due to arrive at REC today

Kind Regards,

**The GCP Team**

Joint Research Management Office

\*\*\*\*\* Please note that the MHRA and regulators use late reports to select studies for inspection as an indicator of failure to comply with GCP. Failure to submit regulatory reports in a timely manner may trigger the sponsor to audit the study and will result in the GCP team escalating to the appropriate CAG lead/institute director and the sponsor representative. Breaches in GCP may result in studies being suspended or withdrawal of sponsorship \*\*\*\*\*

3rd Reminder  to be set 14 days after the REC Favourable Opinion anniversary

Send email to: CI, GCP Manager, GCP Monitor, Research Safety inbox and Trial Coordinator (use study generic email account).

Email SUBJECT: **Annual Progress Report 3rd Reminder**

**\*\*\*Automated Reminder\*\*\***

Dear Dr XXX,

**RE: XXX , IRAS Number: 0000 , EudraCT** **number: ########### (or applicable for studies post Jan2021)**

Your Annual Progress Report should be with REC within the next **two weeks**.

For info, the APR should cover a one year period, with the last day being the date of the anniversary of your REC approval (DDMMMYYYY).

You can find the current template on the HRA website, <http://www.hra.nhs.uk/resources/during-and-after-your-study/nhs-rec-annual-progress-report-forms/> .

If you have not yet submitted a draft report to the Sponsor for review, then please ensure you **contact the JRMO** on research.governance@qmul.ac.uk to discuss the reason for the delay. If you have submitted your APR then you may have received this reminder because the JRMO are awaiting your final signed report, along with acknowledgment of successful submission from the REC.

Reminder 1 – End of reporting period approaching

Reminder 2 – Reporting period ends today

**Reminder 3 – Two weeks left to submit to REC**

Reminder 4 – APR is due to arrive at REC today

Kind Regards,

**The GCP Team**

Joint Research Management Office

\*\*\*\*\* Please note that the MHRA and regulators use late reports to select studies for inspection as an indicator of failure to comply with GCP. Failure to submit regulatory reports in a timely manner may trigger the sponsor to audit the study and will result in the GCP team escalating to the appropriate CAG lead/institute director and the sponsor representative. Breaches in GCP may result in studies being suspended or withdrawal of sponsorship \*\*\*\*\*

4th Reminder  to be set one month after the REC Favourable Opinion anniversary

Send email to: CI, GCP Manager, GCP Monitor, Research Safety inbox and Trial Coordinator (use study generic email account).

Email SUBJECT: **Annual Progress Report 4th Reminder**

**\*\*\*Automated Reminder\*\*\***

Dear Dr XXX,

**RE: XXX , IRAS Number: 0000 , EudraCT** **number: ########### (or applicable for studies post Jan2021)**

Your Annual Progress Report (APR) is due to be received by the REC **TODAY**.

If you have already submitted your APR then you may still need to send your final signed APR to the JRMO, along with acknowledgment of receipt.

If you have not submitted your APR, you must contact the JRMO on research.governance@qmul.ac.uk to discuss the reason for delay.

Reminder 1 – End of reporting period approaching

Reminder 2 – Reporting period ends today

Reminder 3 – Two weeks left to submit to REC

**Reminder 4 – APR is due to arrive at REC today**

Kind Regards,

**The GCP Team**

Joint Research Management Office

\*\*\*\*\* Please note that the MHRA and regulators use late reports to select studies for inspection as an indicator of failure to comply with GCP. Failure to submit regulatory reports in a timely manner may trigger the sponsor to audit the study and will result in the GCP team escalating to the appropriate CAG lead/institute director and the sponsor representative. Breaches in GCP may result in studies being suspended or withdrawal of sponsorship \*\*\*\*\*

Development Safety Update Report

1st Reminder  to be set one month before the MHRA approval anniversary

Send email to: CI, GCP Monitor, Research Safety inbox, Trial Coordinator (use study generic email account).

Email SUBJECT: **XXX Development Safety Update Report 1st Reminder**

**\*\*\*Automated Reminder\*\*\***

Dear Dr XXX,

**RE: XXX , IRAS Number: 0000 , EudraCT** **number: ########### (or applicable for studies post Jan2021)**

You are approaching the end of your DSUR reporting period. In one month the reporting period will end and you will have 60 calendar days to submit your DSUR to the MHRA.  
For info, the DSUR should cover a one year period, with the last day being the date of the anniversary of your MHRA approval (Grounds of Acceptance letter DDMMMYYYY).

You can find the current template on our website, under SOP 19 <http://www.jrmo.org.uk/performing-research/standard-operating-procedures-sops/sop-19/>

The DSUR must be submitted via the current MHRA submission portal (<https://mhrabpm.appiancloud.com/suite/sites/MHRA_Submissions>)

No action is required at this time; however, you may wish to start preparing the data for your report. Please ensure a draft report is sent to [research.governance@qmul.ac.uk](mailto:research.governance@qmul.ac.uk) for Sponsor review at least 2 weeks prior to submission.

**Reminder 1 – End of reporting period approaching**

Reminder 2 – Reporting period ends today

Reminder 3 – 14 days left to submit DSUR

Reminder 4 – DSUR is due to arrive at MHRA today

\*\*\*\*\* Please note that the MHRA and regulators use late reports to select studies for inspection as an indicator of failure to comply with GCP. Failure to submit regulatory reports in a timely manner may trigger the sponsor to audit the study and will result in the GCP team escalating to the appropriate CAG lead/institute director and the sponsor representative. Breaches in GCP may result in studies being suspended or withdrawal of sponsorship \*\*\*\*\*

2nd Reminder  to be set on the MHRA approval anniversary

Send email to: CI, GCP Monitor, Research Safety inbox, Trial Coordinator (use study generic email account).

Email SUBJECT: **XXX Development Safety Update Report 2nd Reminder**

**\*\*\*Automated Reminder\*\*\***

Dear Dr XXX,

**RE: XXX , IRAS Number: 0000 , EudraCT** **number: ########### (or applicable for studies post Jan2021)**

Today is the end of your DSUR reporting period. You now have 60 calendar days to submit your DSUR to the MHRA.  
For info, the DSUR should cover a one year period, with the last day being the date of the anniversary of your MHRA approval - today (DDMMMYYYY).

You can find the current template on our website, under SOP 19 <http://www.jrmo.org.uk/performing-research/standard-operating-procedures-sops/sop-19/>

The DSUR must be submitted via the current MHRA submission portal (<https://mhrabpm.appiancloud.com/suite/sites/MHRA_Submissions>)

Please ensure a draft report is sent to [research.governance@](mailto:research.governance@)qmul.ac.uk for Sponsor review 2 weeks prior to submission.

Reminder 1 – End of reporting period approaching

**Reminder 2 – Reporting period ends today**

Reminder 3 – 14 days left to submit DSUR

Reminder 4 – DSUR is due to arrive at MHRA today

\*\*\*\*\* Please note that the MHRA and regulators use late reports to select studies for inspection as an indicator of failure to comply with GCP. Failure to submit regulatory reports in a timely manner may trigger the sponsor to audit the study and will result in the GCP team escalating to the appropriate CAG lead/institute director and the sponsor representative. Breaches in GCP may result in studies being suspended or withdrawal of sponsorship \*\*\*\*\*

3rd Reminder  to be set 45 days after the MHRA approval anniversary

Send email to: CI, GCP Manager, GCP Monitor, Research Safety inbox and Trial Coordinator (use study generic email account).

Email SUBJECT: **XXX Development Safety Update Report 3rd Reminder**

**\*\*\*Automated Reminder\*\*\***

Dear Dr XXX,

**RE: XXX , IRAS Number: 0000 , EudraCT** **number: ########### (or applicable for studies post Jan2021)**

Your DSUR is due to be received by the MHRA within 14 days. If you haven’t already done so, please ensure a draft report is sent to [research.governance@](mailto:research.governance@)qmul.ac.uk for Sponsor review.  
For info, the DSUR should cover a one year period, with the last day being the date of the anniversary of your MHRA approval (Grounds of Acceptance letter DDMMMYYYY).

You can find the current template on our website, under SOP 19 <http://www.jrmo.org.uk/performing-research/standard-operating-procedures-sops/sop-19/>

The DSUR must be submitted via the current MHRA submission portal (<https://mhrabpm.appiancloud.com/suite/sites/MHRA_Submissions>)

You will continue to receive reminders until the JRMO receive your final signed report, along with acknowledgment of successful submission from MHRA.

Reminder 1 – End of reporting period approaching

Reminder 2 – Reporting period ends today

**Reminder 3 – 14 days left to submit DSUR**

Reminder 4 – DSUR is due to arrive at MHRA today

\*\*\*\*\* Please note that the MHRA and regulators use late reports to select studies for inspection as an indicator of failure to comply with GCP. Failure to submit regulatory reports in a timely manner may trigger the sponsor to audit the study and will result in the GCP team escalating to the appropriate CAG lead/institute director and the sponsor representative. Breaches in GCP may result in studies being suspended or withdrawal of sponsorship \*\*\*\*\*

4th Reminder  to be set 8 weeks after the MHRA approval anniversary

Send email to: CI, GCP Manager, GCP Monitor, Research Safety inbox and Trial Coordinator (use study generic email account).

Email SUBJECT: **XXX Development Safety Update Report 4th Reminder**

**\*\*\*Automated Reminder\*\*\***

Dear Dr XXX,

**RE: XXX , IRAS Number: 0000 , EudraCT** **number: ########### (or applicable for studies post Jan2021)**

Your DSUR is due to be received by the MHRA **TODAY**.

If you have already submitted your DSUR then you may still need to send your final signed DSUR to the JRMO, along with acknowledgment of successful submission from MHRA.

If you have not submitted your DSUR you must contact the JRMO on research.governance@qmul.ac.uk to discuss the reason for the delay.

You can find the current template on our website, under SOP 19 <http://www.jrmo.org.uk/performing-research/standard-operating-procedures-sops/sop-19/>

The DSUR must be submitted via the current MHRA submission portal (<https://mhrabpm.appiancloud.com/suite/sites/MHRA_Submissions>)

Reminder 1 – End of reporting period approaching

Reminder 2 – Reporting period ends today

Reminder 3 – 14 days left to submit DSUR

**Reminder 4 – DSUR is due to arrive at MHRA today**

\*\*\*\*\* Please note that the MHRA and regulators use late reports to select studies for inspection as an indicator of failure to comply with GCP. Failure to submit regulatory reports in a timely manner may trigger the sponsor to audit the study and will result in the GCP team escalating to the appropriate CAG lead/institute director and the sponsor representative. Breaches in GCP may result in studies being suspended or withdrawal of sponsorship \*\*\*\*\*

Table 1: Actions and escalation process relating to each reminder

|  |  |  |
| --- | --- | --- |
|  | **GCP Monitor**  (covered by Research Safety in Monitors absence) | **GCP Manager** |
| **APR 1**  Due -60 Days | For info only, no action required. |  |
| **APR 2**  Due -30 Days | Communicate directly with study team informing end of reporting period. |  |
| **APR 3**  Due -14 Days | Email to study team (cc GCP Manager) to query lateness of report. | For info. |
| **APR 4**  Due -00 Days | Formally escalate to GCP Manager and Senior GCP manager. | Contact study team and inform Research Governance Operations Manager. |
|  |  |  |
| **DSUR 1**  Due -90 Days | For info only, no action required. |  |
| **DSUR 2**  Due -60 Days | Communicate directly with study team informing end of reporting period. |  |
| **DSUR 3**  Due -14 Days | Email to study team (cc GCP Manager) to query lateness of report. | For info. |
| **DSUR 4**  Due -00 Days | Formally escalate to GCP Manager and Senior GCP manager. | Contact study team and inform Research Governance Operations Manager. |
|  | | |