

**\*= mandatory field**

**Green highlight** = guidance for completion of mandatory fields

**Yellow highlight** = guidance for completion of optional fields

**Blue highlight** = subset of questions, visible dependent on **prior question**

## SECTION 1 – TRIAL INFORMATION

### TRIAL IDENTIFICATION AND ADDITIONAL STUDY IDENTIFIERS:

EudraCT Number*	Insert number
Sponsor Protocol Code *	Insert number
Full title of trial*	Insert title from approved protocol (max 2000 characters)
ISRCTN number	Insert number
Clinicaltrials.gov identifier (NCT number)	Insert number
WHO universal trial number	Insert number
Other trial identifier: <i>specify type</i>	Insert number
Other trial identifier: <i>specify type</i>	Insert number

### SPONSOR DETAILS:

Organisation details*	Scientific contact point*	Public contact point*
Insert full address	Insert Chief Investigator details	Insert details of trial management team or Sponsor if no one allocated

### PAEDIATRIC REGULATORY DETAILS:

Is trial part of an agreed paediatric investigation plan? *	Yes <input type="checkbox"/> No <input type="checkbox"/>
<i>If yes, *</i>	Insert all applicable PIP reference numbers (EMEA-xxxx-PIP-xxxx-xxxx)
Does article 45 of Regulation (EC) No 1901/2006 apply to this trial? *	Yes <input type="checkbox"/> No <input type="checkbox"/>
Does article 46 of Regulation (EC) No 1901/2006 apply to this trial? *	Yes <input type="checkbox"/> No <input type="checkbox"/>

### RESULTS ANALYSIS STAGE:

Analysis stage*	Interim <input type="checkbox"/> Final <input type="checkbox"/>
Date of interim/final analysis *	Insert date of cut-off data point for this analysis
Is this the analysis of the primary completion data? *	Yes <input type="checkbox"/> No <input type="checkbox"/> NB: Primary completion date is LPLV for purposes of final collection of data for primary endpoint.
<i>If yes, Primary completion date *</i>	Insert date of which final data was collected
Global end of trial date reached? *	Yes <input type="checkbox"/> No <input type="checkbox"/>
<i>If yes, global end of trial date *</i>	Insert date
Was the trial ended prematurely? *	Yes <input type="checkbox"/> No <input type="checkbox"/>

### GENERAL INFORMATION ABOUT THE TRIAL:

Main objective of the trial *	Insert a summary (only 1000 characters allowed)
Actual start date of recruitment *	Insert date
Long term follow-up planned? *	Yes <input type="checkbox"/> No <input type="checkbox"/>
<i>If, yes, long term follow-up rationale? *</i>	Select one or more from the following list: Safety <input type="checkbox"/> Efficacy <input type="checkbox"/>

	Ethical reason <input type="checkbox"/> Regulatory reason <input type="checkbox"/> Scientific reason <input type="checkbox"/>
<i>If yes, long term follow-up duration? *</i>	Insert months, Insert years
Independent data monitoring committee (IDMC) involvement? *	Yes <input type="checkbox"/> No <input type="checkbox"/>
Protection of trial subjects *	Insert details of specific measures that were in place i.e. measures to minimise pain and distress (max 2000 characters)
Background therapy	Insert details (max 2000 characters) – describe treatments that are not test or comparator products used across all arms/groups in the trial
Evidence for comparators	Insert details (max 2000 characters) – provide rationale for use of the comparators used. Provide evidence for use in context of trial design.

**POPULATION OF TRIAL SUBJECTS:**

For each country participating:

Country	Planned number of subjects	Actual number of subjects enrolled
Select from drop down list	Insert	Insert

Age range	Planned number of subjects	Actual number of subjects enrolled*
<i>In utero</i>	Insert	Insert
<i>Preterm newborn infants (gestational age &lt;37weeks)</i>	Insert	Insert
<i>Newborns (0-27 days)</i>	Insert	Insert
<i>Infants and toddlers (28 days – 23 months)</i>	Insert	Insert
<i>Children (2–11 years)</i>	Insert	Insert
<i>Adolescents (12-17 years)</i>	Insert	Insert
<i>Adults (18-64 years)</i>	Insert	Insert
<i>From 65 years</i>	Insert	
<i>From 65-84 years</i>		Insert
<i>84 years and above</i>		Insert

## SECTION 2 – SUBJECT DISPOSITION

### RECRUITMENT:

Recruitment details:	Insert details of recruitment process i.e recruitment dates, periods and territories (max 350 characters)
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### PRE-ASSIGNMENT:

Screening details:	Insert details of screening criteria and process (max 350 characters) – provide number of patients screened and reasons for subsequent exclusion if applicable
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If required, add another pre-assignment period, i.e. a pre-screening window for biomarker testing, washout period, etc

For each pre-assignment period added:

Date started *	Insert date	Number of subjects	Insert
Intermediate milestone date	Insert date	Number of subjects	Insert
Completed *	Insert date	Number of subjects	Insert
Subject non completion reasons	Select one or more from drop down: AE, non-fatal <input type="checkbox"/> AE, serious fatal <input type="checkbox"/> Consent withdrawn <input type="checkbox"/> Physician decision <input type="checkbox"/> Pregnancy <input type="checkbox"/> Protocol deviation <input type="checkbox"/> Other, specify <input type="checkbox"/>	Number of subjects	Provide number for each reason selected from drop down

### PERIODS:

As a minimum, one period needs to be added in this section called 'Overall Trial'. Other periods can be added as required i.e. screening period, Dose escalation phase, dose expansion phase etc.

For each period added:

#### Period details:

Period title *	Insert
Is this the baseline period? *	Yes <input type="checkbox"/> No <input type="checkbox"/> NB: Enter No if this is not the period that will be covered by the baseline characteristics.
Allocation method *	Select from: Randomised controlled <input type="checkbox"/> Non randomised controlled <input type="checkbox"/> Not applicable <input type="checkbox"/>
Blinding used *	Select from: Double blind <input type="checkbox"/> Single blind <input type="checkbox"/> Not blinded <input type="checkbox"/>
Roles blinded *	Select one or more from: Subject <input type="checkbox"/>

	Investigator <input type="checkbox"/> Monitor <input type="checkbox"/> Data analyst <input type="checkbox"/> Carer <input type="checkbox"/> Assessor <input type="checkbox"/>
Blinding implementation details	Insert details of blinding processes here (max 500 characters) – i.e. double dummy techniques, measures to prevent unblinding by staff or lab measurements etc

**Milestones:**

Insert milestone title:	Insert details of any intermediate milestone in this period i.e. interim analysis, completion of Dose escalation cohort 1, completion of dose escalation cohort 2 etc where separate figures will be reported
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**Arms:**

Are the arms mutually exclusive? *	Yes <input type="checkbox"/> No <input type="checkbox"/> NB: Only answer 'No' if subjects are present in more than one arm i.e. crossover study.
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For each arm added:

**Arm information:**

Arm title *	Insert
Arm description	Insert brief description that will make it distinguishable from other arms
Arm type *	Select one from: Experimental <input type="checkbox"/> Active comparator <input type="checkbox"/> Placebo <input type="checkbox"/> No intervention <input type="checkbox"/> Other <input type="checkbox"/> Specify:

**Products:**

For each product added:

IMP name *	Insert name of IMP
IMP code	Insert (if applicable)
Other names	Insert (if applicable)
Routes of administration *	Select from the drop down list provided
Pharmaceutical forms *	Select from the drop down list provided
Dosage and administration details *	Insert details (max 1000 characters)

**Milestones:**

<i>Number of subjects at each milestone:</i>	
Started *	Insert number of subjects
Completed *	Insert number of subjects
<i>Subject non-completion reasons:</i>	
Select one or more from drop down: AE, non-fatal <input type="checkbox"/>	Provide number of subjects for each reason selected from drop down

AE, serious fatal <input type="checkbox"/> Consent withdrawn <input type="checkbox"/> Physician decision <input type="checkbox"/> Pregnancy <input type="checkbox"/> Protocol deviation <input type="checkbox"/> Other, specify <input type="checkbox"/>	
<i>Subject joining reasons:</i>	
If applicable, select one or more from drop down: Late recruitment <input type="checkbox"/> Transferred from another group/arm <input type="checkbox"/> Other <input type="checkbox"/> specify:	Provide number of subjects for each reason selected from drop down

### SECTION 3 – BASELINE CHARACTERISTICS

Select baseline period *	Select from drop down list (determined by periods entered Section 2)
How are baseline characteristics being reported? *	Per arm in the baseline period <input type="checkbox"/> For the overall baseline period <input type="checkbox"/>

#### REPORTING GROUPS:

This is pre-populated depending on answer to question 2 above.

#### SUBJECT ANALYSIS SETS:

For each subject analysis set added:

Subject Analysis Title *	Insert
Subject Analysis Type*	Select from drop-down list: Full analysis <input type="checkbox"/> Intention-to-Treat <input type="checkbox"/> Modified Intention-to-Treat <input type="checkbox"/> Per protocol <input type="checkbox"/> Safety analysis <input type="checkbox"/> Sub-group analysis <input type="checkbox"/>
Subject Analysis Description *	Insert (max 999 characters) – enter clear description which defines this set of subjects
Number of Subjects*	Insert number of subjects

#### AGE CHARACTERISTICS:

##### Age categorical characteristic:

Characteristic title *	Pre-populated 'Age categorical'
Units *	Pre-populated 'Subjects'
Description	Insert detail (max 600 characters)
Age category title *	Remove from the list all age categories that are not applicable to your study, ensuring that the list matches those entered in Section 1 'Trial Information' Remember to create a category (e.g. called Not recorded) for subjects who left the period before the characteristic.
Ready for collecting values? *	Only tick once all fields above are finalised as if this is subsequently unchecked and criteria for the characteristic definition are changed, all values already added in the next sub section are automatically deleted. Once completed, click 'Done- start collecting values'.

NB: Titles of reporting groups and subject analysis sets will be pre-populated. Also, each of the category titles provided in the table above will be pre-populated in the tables below. Number of tables that appear depends on the number of reporting groups and subject analysis sets entered.

Rep. group 1	
No of subjects	Insert
Category title	Insert
Category title	Insert

Rep. group 1	
No of subjects	Insert
Category title	Insert
Category title	Insert

Total	
No of subjects	pre-populated
Category title	pre-populated
Category title	pre-populated

Subject analysis set 1	
No of subjects	Insert

Category title	Insert
Category title	Insert

**Age continuous characteristic:**

Characteristic title *	Pre-populated 'Age continuous'
Units *	Pre-populated 'Years'
Description	Insert details (max 600 characters)
Central tendency type *	Select from drop-down list below: Arithmetic mean <input type="checkbox"/> Median <input type="checkbox"/> Least squares mean <input type="checkbox"/> Geometric mean <input type="checkbox"/> Log mean <input type="checkbox"/>
Dispersion type *	Select from drop-down list below: Standard deviation <input type="checkbox"/> Inter-quartile range <input type="checkbox"/> Full range (min-max) <input type="checkbox"/>
Ready for collecting values? *	Only tick once all fields above are finalised as if this is subsequently unchecked and criteria for the characteristic definition are changed, all values already added in the next sub section are automatically deleted. Once completed, click 'Done- start collecting values'.

NB: Titles of reporting groups and subject analysis sets will be pre-populated. Also, each of the category titles provided in the table above will be pre-populated in the tables below. Number of tables that appear depends on the number of reporting groups and subject analysis sets entered.

Rep. group 1	
No of subjects	Insert
Central tend.	Insert
Disp type.	Insert

Rep. group 1	
No of subjects	Insert
Central tend.	Insert
Disp type.	Insert

Total	
No of subjects	pre-populated
Central tend.	pre-populated
Disp type.	pre-populated

Subject analysis set 1	
No of subjects	Insert
Central tend.	Insert
Disp type.	Insert

**GENDER CHARACTERISTIC:**

**Gender categorical characteristic:**

Characteristic title *	Pre-populated 'Gender categorical'
Units *	Pre-populated 'Subjects'
Description	Insert details (max 600 characters)
Category title *	Pre-populated 'Male', 'Female' Remember to create a category (e.g. called Not record) for subjects who left the period before the characteristic.
Ready for collecting values? *	Only tick once all fields above are finalised as if this is subsequently unchecked and criteria for the characteristic definition are changed, all values already added in the next sub section are automatically deleted. Once completed, click 'Done- start collecting values'.

NB: Titles of reporting groups and subject analysis sets will be pre-populated. Also, each of the category titles provided in the table above will be pre-populated in the tables below. Number of tables that appear depends on the number of reporting groups and subject analysis sets entered.

Rep. group 1	
No of subjects	Insert
Male	Insert
Female	Insert

Rep. group 1	
No of subjects	Insert
Male	Insert
Female	Insert

Total	
No of subjects	pre-populated
Male	pre-populated
Female	pre-populated

Subject analysis set 1	
No of subjects	Insert
Male	Insert
Female	Insert

**STUDY-SPECIFIC CHARACTERISTIC:**

*For each study-specific categorical characteristic added:*

**Study-specific categorical characteristic:**

Characteristic title *	Insert
Units *	Insert
Description	Insert details (max 600 characters)
Category title *	Add each category required for this study-specific characteristic. Remember to create a category (e.g. called Not record) for subjects who left the period before the characteristic.
Ready for collecting values? *	Only tick once all fields above are finalised as if this is subsequently unchecked and criteria for the characteristic definition are changed, all values already added in the next sub section are automatically deleted. Once completed, click 'Done- start collecting values'.

NB: Titles of reporting groups and subject analysis sets will be pre-populated. Also, each of the category titles provided in the table above will be pre-populated in the tables below. Number of tables that appear depends on the number of reporting groups and subject analysis sets entered.

Rep. group 1	
No of subjects	Insert
Male	Insert
Female	Insert

Rep. group 1	
No of subjects	Insert
Male	Insert
Female	Insert

Total	
No of subjects	pre-populated
Male	pre-populated
Female	pre-populated

Subject analysis set 1	
No of subjects	Insert
Male	Insert
Female	Insert

*For each study-specific continuous characteristic added:*

**Study-specific continuous characteristic:**

Characteristic title *	Insert
Units *	Insert

Description	Insert details (max 600 characters)
Central tendency type *	Select from drop-down list below: Arithmetic mean <input type="checkbox"/> Median <input type="checkbox"/> Least squares mean <input type="checkbox"/> Geometric mean <input type="checkbox"/> Log mean <input type="checkbox"/>
Dispersion type *	Select from drop-down list below: Standard deviation <input type="checkbox"/> Inter-quartile range <input type="checkbox"/> Full range (min-max) <input type="checkbox"/>
Ready for collecting values? *	Only tick once all fields above are finalised as if this is subsequently unchecked and criteria for the characteristic definition are changed, all values already added in the next sub section are automatically deleted. Once completed, click 'Done- start collecting values'.

NB: Titles of reporting groups and subject analysis sets will be pre-populated. Also, each of the category titles provided in the table above will be pre-populated in the tables below. Number of tables that appear depends on the number of reporting groups and subject analysis sets entered.

Rep. group 1	
No of subjects	Insert
Central tend.	Insert
Disp type.	Insert

Rep. group 1	
No of subjects	Insert
Central tend.	Insert
Disp type.	Insert

Total	
No of subjects	pre-populated
Central tend.	pre-populated
Disp type.	pre-populated

Subject analysis set 1	
No of subjects	Insert
Central tend.	Insert
Disp type.	Insert

## SECTION 4 – END POINTS

### REPORTING GROUPS:

This is pre-populated depending on information entered in Section 2.

### END-POINT DEFINITIONS:

*For each end-point added:*

#### End point definition:

End point title *	Insert
Countable or measurable? *	Countable <input type="checkbox"/> Measurable <input type="checkbox"/>
<i>If countable, what units? *</i>	
<i>If measurable, what units? *</i>	
<i>If measurable, what type? *</i>	<b>Select from drop-down list below:</b> Number <input type="checkbox"/> Arithmetic mean <input type="checkbox"/> Median <input type="checkbox"/> Least squares mean <input type="checkbox"/> Geometric mean <input type="checkbox"/> Log mean <input type="checkbox"/>
<i>If measurable, what precision/dispersion type? *</i>	<b>Select from drop-down list below:</b> Standard deviation <input type="checkbox"/> Inter-quartile range <input type="checkbox"/> Full range (min-max) <input type="checkbox"/> Standard error <input type="checkbox"/> Confidence Interval <input type="checkbox"/>
End point type *	<b>Select from drop-down list below:</b> Primary <input type="checkbox"/> Secondary <input type="checkbox"/> Other pre-specified <input type="checkbox"/> Post-hoc <input type="checkbox"/>
Timeframe *	Insert details (max 255 characters)
Description	Insert details (max 255 characters)
Specify the groups of subjects applicable to this end point *	All reporting groups and subject analysis sets previously added to the system in section 2 will appear here. Select which ones (no limit to how many, but minimum one) are applicable to the endpoint. Values will then have to be added for each of the groups selected at the next stage. Make sure all applicable are selected as once you start collecting values, and changes to this list of groups etc will lead to deletion of all data values already entered.
Ready for collecting values? *	Only tick once all fields above are finalised as if this is subsequently unchecked and criteria for the characteristic definition are changed, all values already added in the next sub section are automatically deleted. Once completed, click 'Done- start collecting values'.

#### End point values:

### Reporting groups / Subject analysis sets:

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NB: Titles of reporting groups and subject analysis sets will be pre-populated. Also, the format for which data is to be entered depends on whether countable or measurable units have been selected when defining the endpoint. Number of tables that appear depends on the number of reporting groups and subject analysis sets entered.

Rep. group 1	
No of subjects	Insert
Value (C or M)	Insert
Dispersion	Insert

Rep. group 1	
No of subjects	Insert
Value (C or M)	Insert
Dispersion	Insert

Total	
No of subjects	pre-populated
Value (C or M)	pre-populated
Dispersion	pre-populated

Subject analysis set 1	
No of subjects	Insert
Value (C or M)	Insert
Dispersion	Insert

### Statistical Analysis:

For each statistical analysis entered within the endpoint:

#### Statistical Analysis details:

Statistical analysis title *	Insert
Analysis description	Insert
Comparison groups *	Prepopulated (as per selections made in the end point definition)
Subjects in this analysis *	Prepopulated (as per selections made in the end point definition)
Analysis specification *	Prespecified <input type="checkbox"/> Post-hoc <input type="checkbox"/>
Analysis type *	Select from the following list: Non-inferiority <input type="checkbox"/> Equivalence <input type="checkbox"/> Superiority <input type="checkbox"/> Other <input type="checkbox"/>
Analysis type comment	Insert

#### Statistical hypothesis test:

P-value	Insert –value for primary comparison. If want to enter other p-values i.e. for multiple comparisons, then enter these in the ‘p-value comments’ field
P-value comment	Insert
If p-value entered, method?	Select from the drop-down list

#### Parameter estimate:

Parameter type	Select from drop down list. If other selected, specify
Point estimate	Insert
Confidence interval	1-sided <input type="checkbox"/> 2-sided <input type="checkbox"/>
	Level: 90% <input type="checkbox"/> 95% <input type="checkbox"/> Other <input type="checkbox"/>
	Lower limit: Insert
	Upper limit: Insert
Variability estimate	Standard deviation <input type="checkbox"/> Standard error of the mean <input type="checkbox"/>
Dispersion value	Insert

## Charts:

Upload copies of relevant graphs, charts and diagrams for the end point analysis in question.

Supported formats: PDF, DOC, DOCX, RTF, TXT, PPT, PPTX, XLS, XLSX, TIFF, TIF, PNG, GIF, JPEG, JPG, BMP

Maximum file size: 50MB per file

## SECTION 5 – ADVERSE EVENTS

### ADVERSE EVENTS INFORMATION:

Timeframe for adverse event reporting *	Insert details (max 255 characters)
Adverse event reporting additional description	Insert details (max 350 characters)
Assessment type *	Systematic <input type="checkbox"/> Non-systematic <input type="checkbox"/>
Frequency threshold for reporting non-serious adverse events *	Insert number (maximum value is 5%)
Dictionary name *	Select from drop-down list: MedDRA <input type="checkbox"/> SNOMED CT <input type="checkbox"/> Other <input type="checkbox"/> specify: Insert

### ADVERSE EVENTS REPORTING GROUPS

Add the reporting groups required for your adverse event reporting breakdown i.e. overall trial, Phase I, Phase II, Dose escalation phase, Arm 1, Arm 2 etc. These are independent from Reporting groups/Subject analysis sets previously entered for the statistical analysis sections.

Reporting group title *	Insert
Reporting group description	Insert (max 999 characters)
Subjects exposed *	Insert number of subjects – it is assumed that all subjects who have received at least one dose of treatment should be included in this total
Subjects affected by serious adverse events *	Insert number of subjects for whom at least one SAE was reported
Subjects affected by non-serious adverse events *	Insert number of subjects for whom at least one non-serious AE was reported
Total number of deaths (all causes) *	Insert number of deaths (including all non-treatment-related)
Total number of deaths resulting from adverse events *	Insert number of deaths causally related to treatment

### SERIOUS ADVERSE EVENTS

*For each Serious Adverse Event added:*

#### Serious adverse event details:

System Organ Class *	Select from drop down list provided
Event term *	This field is predictively populated as typing progresses. Select the option from the drop-down where possible.
Additional description	Insert if required (max 250 characters)
Assessment type	Systematic <input type="checkbox"/> Non-systematic <input type="checkbox"/>
Do you want to use a different dictionary to the one specified?	Yes <input type="checkbox"/> No <input type="checkbox"/>

#### Values for serious adverse event per reporting group:

Reporting groups	Subjects affected number	Subjects exposed number	Occurrences all number	Occurrences causally related to treatment number	Fatalities number	Fatalities causally related to treatment number
Prepopulated with reporting group title as per entries in prior section	Insert	Insert	Insert	Insert	Insert	Insert
Prepopulated with reporting group title as per entries in prior section	Insert	Insert	Insert	Insert	Insert	Insert

#### NON-SERIOUS ADVERSE EVENTS

*For each Non-Serious Adverse Event added:*

#### Non-serious adverse event details

System Organ Class *	Select from drop down list provided
Event term *	This field is predictively populated as typing progresses. Select the option from the drop-down where possible.
Additional description	Insert if required (max 250 characters)
Assessment type	Systematic <input type="checkbox"/> Non-systematic <input type="checkbox"/>
Do you want to use a different dictionary to the one specified?	Yes <input type="checkbox"/> No <input type="checkbox"/>

Reporting groups	Subjects affected number	Subjects exposed number	Occurrences all number
Prepopulated with reporting group title as per entries in prior section	Insert	Insert	Insert
Prepopulated with reporting group title as per entries in prior section	Insert	Insert	Insert

## SECTION 6 – MORE INFORMATION

### SUBSTANTIAL PROTOCOL AMENDMENTS (GLOBALLY)

Were there any global substantial amendments to the protocol? *	Yes <input type="checkbox"/> No <input type="checkbox"/>
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*For each substantial amendment added:*

Date of amendment *	Insert date
Description of amendment *	Insert description (max 2000 characters)

### INTERRUPTIONS (GLOBALLY)

*For each interruption added:*

Date of interruption *	Insert date
Description of interruption *	Insert description (max 2000 characters)
Date of restart, if applicable	Insert date

### LIMITATIONS AND CAVEATS

Limitations and caveats applicable to this summary of the results?	Insert details of any significant limitations to the data i.e. early termination and small numbers of subjects, technical problems that led uninterpretable data etc (max 250 characters)
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### ONLINE REFERENCES

Enter PubMed identifier (PMID)	Insert the PubMed ID and then click 'Add this link'. Unlimited number of links can be added.
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