

**Table 1 – RESEARCH PASSPORT ALGORITHM - INTERIM UPDATE**

Shaded rows highlight the adjustments made to the Research Passport Scheme in response to the requirements of the VBS. Staff with an existing Research Passport can continue current arrangements until their Research Passport needs renewal, unless their research role changes significantly (e.g. they start to work with children where previously they only worked with adults). A Research Passport should be completed only when specific evidence of pre-engagement checks is required.

**NB:** The HR Good Practice Resource Pack has been updated to reflect [interim guidance given by NHS Employers](#). Users should refer to the guidance when appropriate and to any changes subsequently made as a result of the review of the Vetting and Barring Scheme.

Type of research activity researcher will be conducting	Criminal record check necessary? <sup>5, 6</sup>	Is this likely to be Regulated or Controlled activity, which is Subject to Monitoring? <sup>7</sup>	LOA or HRC	Occupational Health Check Necessary?
Direct contact with adult patients/service users (i.e. vulnerable adults) and providing prevention, diagnosis or treatment	Yes, enhanced	Yes – Regulated Activity	HRC	Yes
Direct contact with children and providing prevention, diagnosis or treatment	Yes, enhanced	Yes – Regulated Activity	HRC	Yes
Direct contact with patients/service users (vulnerable adults or children) as part of the care team	Yes, enhanced	Yes – Regulated Activity	LOA	Yes
Direct or indirect contact in a specified place (e.g. children's hospital)	Yes, enhanced	Yes – Regulated Activity	HRC or LOA	Yes (if there is direct contact)
Indirect contact with patients/service users (vulnerable adults or children) and providing prevention, diagnosis or treatment (e.g. some types of telephone interviews)	Yes, enhanced	Yes – Regulated Activity	HRC	No
Direct contact with patients/service users (vulnerable adults or children) in wider healthcare settings but not providing prevention, diagnosis or treatment (e.g. focus groups)	Interim arrangements Yes, standard	Yes - Controlled Activity	LOA	Yes

<sup>5</sup> Please refer to [http://www.crb.homeoffice.gov.uk/guidance/rb\\_guidance/eligible\\_posts.aspx](http://www.crb.homeoffice.gov.uk/guidance/rb_guidance/eligible_posts.aspx) for guidance on specific activities which are eligible for an enhanced CRB check.

<sup>6</sup> Interim arrangements for controlled activity are based on NHS Employers' current guidance. It is likely that these requirements may be subject to change under the remodelling of the Scheme.

<sup>7</sup> **NB MUST BE UNDERTAKEN FREQUENTLY (1 A MONTH OR MORE), INTENSIVELY (4 DAYS OR MORE IN ANY 30 DAY PERIOD) OR OVERNIGHT (2AM-6AM)**

Type of research activity researcher will be conducting	Criminal record check necessary? <sup>5,6</sup>	Is this likely to be Regulated or Controlled activity, which is Subject to Monitoring? <sup>7</sup>	LOA or HRC	Occupational Health Check Necessary?
Indirect contact with patients/service users in wider healthcare settings but not providing prevention, diagnosis or treatment (e.g. some telephone interviews)	Interim arrangements Yes, standard	Yes – controlled activity: access to identifiable health records or opportunity to have any form of contact with children or vulnerable adults	LOA	No
Access to identifiable patient data derived from health records, tissues or organs with likely impact on prevention, diagnosis or treatment	Interim arrangements No	Yes – controlled activity: access to identifiable health records or opportunity to have any form of contact with children or vulnerable adults	HRC	Yes only if working with tissues or organs in NHS labs
Access to identifiable patient data derived from health records, tissues or organs with no likely impact on prevention, diagnosis or treatment	Interim arrangements No	Yes – controlled activity: access to identifiable health records or opportunity to have any form of contact with children or vulnerable adults	LOA	Yes if working with tissues or organs
Access to anonymised patient data derived from health records, tissues or organs only (including by research staff analysing data)	No	No	LOA (only if records reviewed in NHS facilities),	Yes if working with tissues or organs
Working on NHS premises (e.g. laboratory) only (no access to identifiable data, not in a specified place)	No	No	LOA (if in NHS facilities)	Yes if working with tissues or organs
Direct contact with staff only (e.g. interviews) (No access to patients or patient data, not in a specified place)	No	No	LOA (if in NHS facilities)	No
Access to identifiable staff data only (Not in a specified place)	No	No	LOA (if in NHS facilities)	No
Access to anonymised staff data only (Not in a specified place)	No	No	LOA (if in NHS facilities)	No

<sup>5</sup> Please refer to [http://www.crb.homeoffice.gov.uk/guidance/rb\\_guidance/eligible\\_posts.aspx](http://www.crb.homeoffice.gov.uk/guidance/rb_guidance/eligible_posts.aspx) for guidance on specific activities which are eligible for an enhanced CRB check.

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