Publicly available guidance for promoting compliance awareness and for identifying and managing security-related risks.

1. **Publicly available resources**

Trusted Research awareness video
https://www.youtube.com/watch?v=6VAiX7vrCuU

Guidance
Trusted Research Guidance for Academia – overall framework
Managing risks in Internationalisation: Security related issues – guidance developed with UUK
Trusted Research Checklist for Academia – partner checklist
Trusted Research Countries and Conferences Guidance – guidance for overseas travel

Relevant Legislation
National Security and Investment Act: guidance for the higher education and research-intensive sectors – NSI Act for academia
Informed Investment Guidance - NPSA guidance on NSI Act
Export controls applying to academic research – export control for academia
Exporting military or dual-use technology: definitions and scope – scope of sensitive technology
Guidance: Academic Technology Approval Scheme (ATAS) – general guidance

2. **Conduct due diligence on partnership suitability:**

Universities already invest significant effort in conducting due diligence around the financial sustainability or fraud risk associated with a research partner or funder. You should also consider whether a research or funding partner poses ethical or national security concerns. This consideration should go beyond questions of compliance (such as the export control regime) and consider reputational risks. Things to consider include:

- Is there any publicly available information about an organisation, institution or entity which might give you cause for concern?
- In view of that information, what might be the broader application or unintended consequences of working with them in the area of research that you intend to undertake?
- What information is available about the level of freedom and the state of law of the country where your research partner is based?

The following resources could help inform a decision about the suitability of research with specific partners:

- US export entity control list
- UN sanctions list
- Country corruption index
- Trade restrictions on export
- The Human Freedom Index
- The World Justice Project Rule of Law Index
- ASPI: Chinese Defence Universities tracker